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## Privacy Statement

American Portfolios Financial Services, Inc. and American Portfolios Advisors, Inc. consider privacy to be a fundamental part of their relationship with you. We have developed practices and procedures to protect the confidentiality and security of your nonpublic personal information. Our practices and procedures apply to current and former customers. You have privacy choices and may limit our disclosure of your personal information.

### **Personal Information We Collect and Disclose**

We collect the following kinds of nonpublic personal information about you:

- information we receive from you;
- information about your transactions with us, our affiliates, or others; or
- Information we receive from a consumer reporting agency.

We reserve the right to disclose all of the nonpublic personal information about you that we collect.

### **Nonaffiliated Third Parties To Whom We Disclose Personal Information**

We respect the relationship that you have with your registered representative or investment advisor. If your representative or advisor elects to leave us and join another firm, we will disclose, and permit your representative or advisor to disclose, all of the information we collect, as described above, to the new firm in order to assist you with the timely and orderly transition of your account and to allow your representative or advisor to continue to serve you at the new firm.

We also make disclosures to other nonaffiliated third parties as permitted by law.

### **Affiliates To Whom We Disclose Personal Information**

We disclose all of the information we collect, as described above, to our affiliates to assist us in processing transactions and servicing your account, and to share eligibility information for products and services.

### **Confidentiality and Security**

We restrict access to nonpublic personal information to those employees who need to know that information to provide products or services to you. We maintain physical, electronic, and procedural safeguards that comply with applicable laws to guard your nonpublic personal information.

### **Privacy Choices**

We are permitted to disclose nonpublic personal information about you to nonaffiliated third parties and to our affiliates in connection with processing transactions and servicing your account. If you prefer that we do not disclose nonpublic personal information about you to nonaffiliated third parties (other than those parties needed to process and service your account), or to our affiliates for their own marketing purposes, you may direct us not to make those disclosures (other than disclosures permitted by law) by opting out. If you wish to opt out of these disclosures, you may call the following toll-free number: 1-800-889-3914, ext. 249. If two or more customers jointly have an account with us, we will treat an opt-out direction by one of those customers as applying to all of the associated joint customers.

### **California and Vermont Customers**

For an account with a primary mailing address in California, we will not disclose your personal information to nonaffiliated third parties except as permitted by California law. We will also limit the sharing of personal information about you with our affiliates to comply with California privacy laws. You may restrict our sharing of personal information with our affiliates by calling our toll-free number.

For an account with a primary mailing address in Vermont, we will not disclose your personal information to nonaffiliated third parties or our affiliates except as permitted by Vermont law.

For both California and Vermont, we are permitted to share nonpublic personal information with nonaffiliated third parties and our affiliates to process transactions and service your account.